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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,479	08/24/2001	Yoshihiro Okada	46547-56243

Dike, Bronstein, Roberts & Cushman  
Intellectual Property Practice Group  
Edwards & Angell  
P.O. Box 9169  
Boston, MA 02209



CONFIRMATION NO. 3334

## FORMALITIES LETTER



\*OC000000006901921\*

Date Mailed: 10/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

SP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/14/2002 HBERHE 00000038 09939479

01 FC:105

130.00 OP

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<b>Response To Notice To File Missing Parts Of Application</b> <b>Filing Date Granted (PTO-1533)(Large Entity)</b>			<b>Docket No.</b> 46547-56243
Inventor Application Of: Y shihiro Okada, et al.			
<b>Serial No.</b> 09/939,479	<b>Filing Date</b> August 24, 2001	<b>Examiner</b> Not Yet Assigned	<b>Group Art Unit</b> 2872
Invention:  <b>ACTIVE MATRIX SUBSTRATE, METHOD OF MAKING THE SUBSTRATE, AND DISPLAY DEVICE</b>			
<p style="text-align: center;"><u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <u>Box Missing Parts</u></p> <p>This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on <u>October 15, 2001</u> <i>Date</i></p> <p>Enclosed herewith for filing are the following:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). <b>(REQUIRED)</b></li><li><input checked="" type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.</li><li><input type="checkbox"/> A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.</li><li><input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.</li><li><input type="checkbox"/> A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.</li><li><input checked="" type="checkbox"/> Other (list):<div style="border: 1px solid black; padding: 5px; margin-top: 5px;"><p><b>Check - \$130.00 - Surcharge</b> <b>Assignment - Recordation Form Cover Sheet</b> <b>Check - \$40.00 - Assignment recordal</b> <b>Information Disclosure Statement; Form PTO 1449; 4 References</b></p></div></li></ul>			

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**46547-56243**

In Re Application Of: **Y shihiro Okada, et al.**

Serial No.  
**09/939,479**

Filing Date  
**August 24, 2001**

Examiner  
**Not Yet Assigned**

Group Art Unit  
**2872**

Invention:

**ACTIVE MATRIX SUBSTRATE, METHOD OF MAKING  
THE SUBSTRATE, AND DISPLAY DEVICE**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
46547-56243

In Re Application Of: Yoshihiro Okada, et al.

Serial No.  
09/939,479

Filing Date  
August 24, 2001

Examiner  
Not Yet Assigned

Group Art Unit  
2872

Invention:

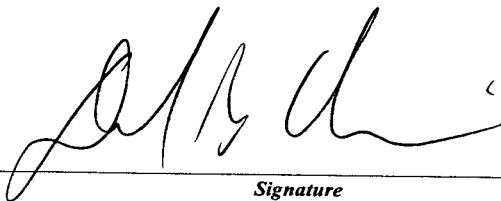
**ACTIVE MATRIX SUBSTRATE, METHOD OF MAKING  
THE SUBSTRATE, AND DISPLAY DEVICE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$130.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **04-1105**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **04-1105**  
A duplicate copy of this sheet is enclosed.

  
*Signature*

Dated: **December 13, 2001**

**David G. Conlin  
Registration No. 27026  
Dike, Bronstein, Roberts & Cushman  
Intellectual Property Practice Group  
Edwards & Angell  
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Tel: (617) 439-4444**

I certify that this document and fee is being deposited on **December 13, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
*Signature of Person Mailing Correspondence*

**Louise M. Rappaport**

*Typed or Printed Name of Person Mailing Correspondence*

CC: